



# **Missouri Department of Corrections**

**Budget Request • FY2013**

**includes Governor's recommendations**

**George A. Lombardi, Director**

**List of Flexibility Requests, Fund Financial Summaries,  
Supplemental Requests**

### **FY2013 Department of Corrections Flexibility Requests and Requests for “E” Appropriations**

1. **Office of the Director** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
2. **Federal and Other Programs** – The Department is requesting an “E” appropriation for the Federal and Other Funds section. The “E” is being requested to allow the Department to take advantage of any additional grants that might become available during the fiscal year and private donations to the Institutional Gift Trust Fund.
3. **Population Growth Pool** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations. The Department is also requesting an “E” appropriation for the Inmate Incarceration Reimbursement Act Revolving Fund section. The “E” is being requested to allow the Department to take advantage of any additional Inmate Incarceration Reimbursement funds that might become available during the fiscal year.
4. **Telecommunications** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
5. **Division of Human Services** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
6. **General Services** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
7. **Food Purchases** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations. The Department is also requesting an “E” appropriation for the Federal portion of the Food Purchases appropriation. The Department receives reimbursements from the U.S. Department of Education’s School Lunch program for offenders age 21 and under who are attending school. These reimbursements vary from year to year, so the Department is asking for flexibility to use the amount of funds that are received from the program.

8. **Staff Training** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
9. **Employee Health and Safety** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
10. **Compensatory Time Pool** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations. The Department is also requesting an “E” appropriation for the Working Capital Revolving Fund and Inmate Revolving Fund appropriations in the Compensatory Time Pool section. The amount of compensatory time paid out to staff from those appropriations is very volatile and difficult to project; therefore the Department is requesting an “E” for the two appropriations.
11. **Institutional E&E Pool** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
12. **Division of Adult Institutions** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
13. **Wage and Discharge** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
14. **Jefferson City Correctional Center at Jefferson City** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
15. **Central Missouri Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
16. **Women’s Eastern Reception, Diagnostic and Correctional Center at Vandalia** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
17. **Ozark Correctional Center at Fordland** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.

18. **Moberly Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
19. **Algoa Correctional Center at Jefferson City** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
20. **Missouri Eastern Correctional Center at Pacific** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
21. **Chillicothe Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
22. **Boonville Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
23. **Farmington Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
24. **Western Missouri Correctional Center at Cameron** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
25. **Potosi Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
26. **Fulton Reception and Diagnostic Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
27. **Tipton Correctional Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.

28. **Western Reception, Diagnostic and Correctional Center at St. Joseph** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
29. **Maryville Treatment Center** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
30. **Crossroads Correctional Center at Cameron** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
31. **Northeast Correctional Center at Bowling Green** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
32. **Eastern Reception, Diagnostic and Correctional Center at Bonne Terre** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
33. **South Central Correctional Center at Licking** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
34. **Southeast Correctional Center at Charleston** – The Department is requesting Personal Services flexibility between institutions in order for the Department to continue daily operations.
35. **Division of Offender Rehabilitative Services** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
36. **Offender Health Care** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations. The Department is also requesting an “E” appropriation for the federal appropriation in the Inmate Healthcare section in case the opportunity arises to obtain reimbursement for Inmate Healthcare costs from Medicaid.
37. **Medical Equipment** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.



38. **Substance Abuse Services** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
39. **Toxicology** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
40. **Offender Education** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
41. **Missouri Vocational Enterprises** – The Department is requesting Personal Services and/or Expense and Equipment flexibility in order for the Department to continue daily operations.
42. **Division of Probation and Parole** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations. The Department is also requesting an “E” appropriation for the Debt Offset appropriation in the Division of Probation and Parole in case the opportunity arises to obtain reimbursement for delinquent offender debts.
43. **St. Louis Community Release Center** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
44. **Kansas City Community Release Center** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
45. **Command Center** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.
46. **Community Supervision Centers** – The Department is requesting Personal Services and/or Expense and Equipment flexibility and/or flexibility between divisions in order for the Department to continue daily operations.

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: General Revenue  
FUND NUMBER: 0101

☒ Statute \_\_\_\_\_  
☐ Constitution \_\_\_\_\_

☐ Administratively Created  
☐ Interest Deposited To Fund

☐ Subject To Biennial Sweep  
☐ Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	0	0	0	0	0
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	2,617,807	2,617,807	2,619,717	2,619,717	2,619,717
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	<u>2,617,807</u>	<u>2,617,807</u>	<u>2,619,717</u>	<u>2,619,717</u>	<u>2,619,717</u>
TOTAL RESOURCES AVAILABLE	<u>2,617,807</u>	<u>2,617,807</u>	<u>2,619,717</u>	<u>2,619,717</u>	<u>2,619,717</u>
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	0	0	0	0	0
TRANSFER APPROPS	0	0	0	0	0
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>BUDGET BALANCE</b>	<u>2,617,807</u>	<u>2,617,807</u>	<u>2,619,717</u>	<u>2,619,717</u>	<u>2,619,717</u>
UNEXPENDED APPROPRIATION *	0	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
<b>ENDING CASH BALANCE</b>	<u>2,617,807</u>	<u>2,617,807</u>	<u>2,619,717</u>	<u>2,619,717</u>	<u>2,619,717</u>
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	2,617,807	2,617,807	2,619,717	2,619,717	2,619,717
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	0	0	0	0	0
TOTAL OTHER OBLIGATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>UNOBLIGATED CASH BALANCE</b>	<u>2,617,807</u>	<u>2,617,807</u>	<u>2,619,717</u>	<u>2,619,717</u>	<u>2,619,717</u>

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: General Revenue  
FUND NUMBER: 0101

**FUND PURPOSE:** Tracks the General Revenue receipts of the Department of Corrections.

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.



**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Federal Funds  
FUND NUMBER: 0130

☐ Statute \_\_\_\_\_  
☐ Constitution \_\_\_\_\_

☐ Administratively Created  
☐ Interest Deposited To Fund

☐ Subject To Biennial Sweep  
☐ Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	869,342	869,342	1,289,791	1,239,790	1,239,790
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	4,225,858	4,225,858	10,394,459	0	0
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	<u>4,225,858</u>	<u>4,225,858</u>	<u>10,394,459</u>	<u>0</u>	<u>0</u>
TOTAL RESOURCES AVAILABLE	5,095,200	5,095,200	11,684,250	1,239,790	1,239,790
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	10,434,834	3,018,271	9,715,549	0	0
TRANSFER APPROPS	1,324,839	787,138	728,911	0	0
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	<u>11,759,673</u>	<u>3,805,409</u>	<u>10,444,460</u>	<u>0</u>	<u>0</u>
<b>BUDGET BALANCE</b>	(6,664,473)	1,289,791	1,239,790	1,239,790	1,239,790
UNEXPENDED APPROPRIATION *	7,954,264	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
<b>ENDING CASH BALANCE</b>	<u>1,289,791</u>	<u>1,289,791</u>	<u>1,239,790</u>	<u>1,239,790</u>	<u>1,239,790</u>
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	1,289,791	1,289,791	1,239,790	1,239,790	1,239,790
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	0	0	0	0	0
TOTAL OTHER OBLIGATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>UNOBLIGATED CASH BALANCE</b>	<u>1,289,791</u>	<u>1,289,791</u>	<u>1,239,790</u>	<u>1,239,790</u>	<u>1,239,790</u>

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Federal Funds  
FUND NUMBER: 0130

**FUND PURPOSE:**

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

# STATE OF MISSOURI FUND FINANCIAL SUMMARY

DEPARTMENT: Corrections  
FUND NAME: Working Capital Revolving Fund  
FUND NUMBER: 0510

☒ Statute RSMo. 217.550  
☐ Constitution

☐ No Administratively Created  
☐ No Interest Deposited To Fund

☐ No Subject To Biennial Sweep  
☐ No Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	14,367,824	14,367,824	13,705,419	6,848,436	6,848,436
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	30,511,431	30,511,431	25,011,430	25,011,430	25,011,430
TRANSFERS IN	3,455	3,455	0	0	0
TOTAL RECEIPTS	<u>30,514,886</u>	<u>30,514,886</u>	<u>25,011,430</u>	<u>25,011,430</u>	<u>25,011,430</u>
TOTAL RESOURCES AVAILABLE	44,882,710	44,882,710	38,716,849	31,859,867	31,859,867
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	39,483,344	28,011,631	27,608,019	27,608,019	27,608,019
TRANSFER APPROPS	4,895,265	3,165,660	3,447,128	3,447,128	3,447,128
CAPITAL IMPROVEMENTS APPROPS	0	0	813,266	0	0
TOTAL APPROPRIATIONS	<u>44,378,609</u>	<u>31,177,291</u>	<u>31,868,413</u>	<u>31,055,147</u>	<u>31,055,147</u>
BUDGET BALANCE	504,101	13,705,419	6,848,436	804,720	804,720
UNEXPENDED APPROPRIATION *	13,201,318	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
ENDING CASH BALANCE	<u>13,705,419</u>	<u>13,705,419</u>	<u>6,848,436</u>	<u>804,720</u>	<u>804,720</u>
<b>FUND OBLIGATIONS</b>					
ENDING CASH BALANCE	13,705,419	13,705,419	6,848,436	804,720	804,720
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
TOTAL OTHER OBLIGATIONS	<u>6,000,000</u>	<u>6,000,000</u>	<u>6,000,000</u>	<u>6,000,000</u>	<u>6,000,000</u>
UNOBLIGATED CASH BALANCE	<u>7,705,419</u>	<u>7,705,419</u>	<u>848,436</u>	<u>(5,195,280)</u>	<u>(5,195,280)</u>

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Working Capital Revolving Fund  
FUND NUMBER: 0510

**FUND PURPOSE:** Provide funds for the operation of the Missouri Vocational Enterprises. MVE develops and operates programs to increase employment and training opportunities for offenders assigned to correctional centers to promote productive and law-abiding conduct after release to the community. MVE industries and programs produce a variety of products and services for state agencies, city and county governments, political subdivisions, state employees and not-for-profit organizations.

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Inmate Revolving Fund  
FUND NUMBER: 0540

☒ Statute RSMo. 217.430  
☐ Constitution

☐ Administratively Created  
☐ Interest Deposited To Fund

☐ Subject To Biennial Sweep  
☐ Subject To Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	13,814,250	13,814,250	3,342,616	338,967	338,967
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	12,105,388	12,105,388	11,996,177	11,548,331	11,548,331
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	<u>12,105,388</u>	<u>12,105,388</u>	<u>11,996,177</u>	<u>11,548,331</u>	<u>11,548,331</u>
TOTAL RESOURCES AVAILABLE	25,919,638	25,919,638	15,338,793	11,887,298	11,887,298
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	31,760,278	22,063,685	14,558,910	11,627,954	11,627,954
TRANSFER APPROPS	755,013	513,337	440,916	440,916	440,916
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	<u>32,515,291</u>	<u>22,577,022</u>	<u>14,999,826</u>	<u>12,068,870</u>	<u>12,068,870</u>
<b>BUDGET BALANCE</b>	(6,595,653)	3,342,616	338,967	(181,572)	(181,572)
UNEXPENDED APPROPRIATION *	9,938,269	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
<b>ENDING CASH BALANCE</b>	<u>3,342,616</u>	<u>3,342,616</u>	<u>338,967</u>	<u>(181,572)</u>	<u>(181,572)</u>
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	3,342,616	3,342,616	338,967	(181,572)	(181,572)
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	1,008,782	1,008,782	999,681	962,361	962,361
TOTAL OTHER OBLIGATIONS	<u>1,008,782</u>	<u>1,008,782</u>	<u>999,681</u>	<u>962,361</u>	<u>962,361</u>
<b>UNOBLIGATED CASH BALANCE</b>	<u>2,333,834</u>	<u>2,333,834</u>	<u>338,967</u>	<u>(181,572)</u>	<u>(181,572)</u>

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Inmate Revolving Fund  
FUND NUMBER: 0540

**FUND PURPOSE:** To provide support to offenders in halfway houses, house arrest, or in work or educational release programs. Also provides for offenders services in the community such as substance abuse treatment, mental health treatment and electronic monitoring programs.

**NOTES:** Funding previously received via transfer from the Inmate Incarceration Reimbursement Revolving Fund will not be put into the Inmate Revolving Fund after FY12.

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Debt Offset Escrow Fund  
FUND NUMBER: 0753

☐ Statute RSMo. 143.784  
☐ Constitution

☐ Administratively Created  
☐ Interest Deposited To Fund

☐ Subject To Biennial Sweep  
☐ Subject To Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	0	0	147,997	0	0
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	0	0	0	0	0
TRANSFERS IN	147,997	147,997	450,000	1	450,000
TOTAL RECEIPTS	147,997	147,997	450,000	1	450,000
TOTAL RESOURCES AVAILABLE	147,997	147,997	597,997	1	450,000
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	0	0	597,997	1	450,000
TRANSFER APPROPS	0	0	0	0	0
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	0	0	597,997	1	450,000
<b>BUDGET BALANCE</b>	147,997	147,997	0	0	0
UNEXPENDED APPROPRIATION *	0	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
<b>ENDING CASH BALANCE</b>	147,997	147,997	0	0	0
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	147,997	147,997	0	0	0
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	0	0	49,833	37,500	37,500
TOTAL OTHER OBLIGATIONS	0	0	49,833	37,500	37,500
<b>UNOBLIGATED CASH BALANCE</b>	147,997	147,997	0	0	0



**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Debt Offset Escrow Fund  
FUND NUMBER: 0753

**FUND PURPOSE:** To allow the Department of Corrections to access funds intercepted from tax refunds of offenders who owe a debt to the State of Missouri.

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Inmate Incarceration Reimbursement Act Revolving Fund  
FUND NUMBER: 0828

☒ Statute RSMo. 217.841  
☐ Constitution

☐ No Administratively Created  
☐ No Interest Deposited To Fund

☐ No Subject To Biennial Sweep  
☐ No Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	0	0	0	0	0
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	0	0	0	0	1,000,000
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,000,000</u>
TOTAL RESOURCES AVAILABLE	0	0	0	0	1,000,000
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	0	0	0	0	1,000,000
TRANSFER APPROPS	0	0	0	0	0
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,000,000</u>
<b>BUDGET BALANCE</b>	0	0	0	0	0
UNEXPENDED APPROPRIATION *	0	0	0	0	0
OTHER ADJUSTMENTS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ENDING CASH BALANCE</b>	0	0	0	0	0
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	0	0	0	0	0
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	0	0	0	0	0
TOTAL OTHER OBLIGATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>UNOBLIGATED CASH BALANCE</b>	0	0	0	0	0

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Inmate Incarceration Reimbursement Act Revolving Fund  
FUND NUMBER: 0828

**FUND PURPOSE:** For the purpose of providing spending authority for monies recovered from offenders to pay for the cost of their incarceration. The Attorney General's Office collects monies from offenders with the means to pay. These monies are deposited into the Inmate Incarceration Reimbursement Act Revolving Fund. The AG's Office retains 20% of the monies collected to pay for the costs of collection. The remainder of the funds are appropriated to the Department of Corrections for the purposes of construction and operation of state correctional facilities.

**NOTES:** Prior to FY13, these monies were transferred to the Department of Corrections and deposited into the Inmate Revolving Fund (0540).

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

# STATE OF MISSOURI FUND FINANCIAL SUMMARY

DEPARTMENT: Corrections  
FUND NAME: Corrections Substance Abuse Earnings Fund  
FUND NUMBER: 0853

☒ Statute RSMo. 559.635  
☐ Constitution

☐ No Administratively Created  
☒ Yes Interest Deposited To Fund

☐ No Subject To Biennial Sweep  
☐ No Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	607,688	607,688	571,228	369,518	369,518
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	64,015	64,015	64,015	64,015	64,015
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	64,015	64,015	64,015	64,015	64,015
TOTAL RESOURCES AVAILABLE	671,703	671,703	635,244	433,533	433,533
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	264,600	99,246	264,600	264,600	264,600
TRANSFER APPROPS	1,031	1,229	1,126	1,126	1,126
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	265,631	100,475	265,726	265,726	265,726
<b>BUDGET BALANCE</b>	406,072	571,228	369,518	167,807	167,807
UNEXPENDED APPROPRIATION *	165,156	0	0	0	0
OTHER ADJUSTMENTS	0	0	0	0	0
<b>ENDING CASH BALANCE</b>	571,228	571,228	369,518	167,807	167,807
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	571,228	571,228	369,518	167,807	167,807
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	0	0	0	0	0
TOTAL OTHER OBLIGATIONS	0	0	0	0	0
<b>UNOBLIGATED CASH BALANCE</b>	571,228	571,228	369,518	167,807	167,807

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Corrections Substance Abuse Earnings Fund  
FUND NUMBER: 0853

**FUND PURPOSE:** For the purpose of providing assistance in securing alcohol and drug rehabilitation services for offenders under the supervision of the Missouri Department of Corrections.

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.

# STATE OF MISSOURI FUND FINANCIAL SUMMARY

DEPARTMENT: Corrections  
FUND NAME: Institutions Gift Trust Fund  
FUND NUMBER: 0925

☒ Statute RSMo. 33.563  
☐ Constitution

☐ No Administratively Created  
☐ No Interest Deposited To Fund

☐ No Subject To Biennial Sweep  
☐ No Subject to Other Sweeps (see notes)

	FY 2011 ADJUSTED APPROP	FY 2011 ACTUAL SPENDING	FY 2012 ADJUSTED APPROP	FY 2013 REQUESTED	FY 2013 GOVERNOR RECOMMEND
<b>FUND OPERATIONS</b>					
BEGINNING CASH BALANCE	0	0	2,721	2,709	2,709
RECEIPTS:					
REVENUE (Cash Basis: July 1 - June 30)	3,760	3,760	3,760	3,760	3,760
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	<u>3,760</u>	<u>3,760</u>	<u>3,760</u>	<u>3,760</u>	<u>3,760</u>
TOTAL RESOURCES AVAILABLE	<u>3,760</u>	<u>3,760</u>	<u>6,481</u>	<u>6,469</u>	<u>6,469</u>
APPROPRIATIONS (INCLUDES REAPPROPS):					
OPERATING APPROPS	10,000	1,027	3,760	3,760	3,760
TRANSFER APPROPS	12	12	12	12	12
CAPITAL IMPROVEMENTS APPROPS	0	0	0	0	0
TOTAL APPROPRIATIONS	<u>10,012</u>	<u>1,039</u>	<u>3,772</u>	<u>3,772</u>	<u>3,772</u>
<b>BUDGET BALANCE</b>	<u>(6,252)</u>	<u>2,721</u>	<u>2,709</u>	<u>2,697</u>	<u>2,697</u>
UNEXPENDED APPROPRIATION *	8,973	0	0	0	0
OTHER ADJUSTMENTS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ENDING CASH BALANCE</b>	<u>2,721</u>	<u>2,721</u>	<u>2,709</u>	<u>2,697</u>	<u>2,697</u>
<b>FUND OBLIGATIONS</b>					
<b>ENDING CASH BALANCE</b>	2,721	2,721	2,709	2,697	2,697
OTHER OBLIGATIONS					
OUTSTANDING PROJECTS	0	0	0	0	0
CASH FLOW NEEDS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL OTHER OBLIGATIONS	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>UNOBLIGATED CASH BALANCE</b>	<u>2,721</u>	<u>2,721</u>	<u>2,709</u>	<u>2,697</u>	<u>2,697</u>

**STATE OF MISSOURI  
FUND FINANCIAL SUMMARY**

DEPARTMENT: Corrections  
FUND NAME: Institutions Gift Trust Fund  
FUND NUMBER: 0925

**FUND PURPOSE:** For the purpose of establishing the Foster Dog Program in the Missouri Department of Corrections. The program will create a partnership between a participating correctional facility and a local community animal shelter. The Foster Dog Program will operate at no cost to the State or the Department, although the Department will be seeking donations of cash and food to help care for the animals.

**NOTES:**

\* Do not include in the Prior Year Actual column as doing so would double count lapse & reserve.



# **SUPPLEMENTAL NEW DECISION ITEM**

<b>Department:</b> Department Of Corrections	<b>Budget Unit</b> 97432C
<b>Division:</b> Division of Offender Rehabilitative Services	
<b>DI Name:</b> Offender Healthcare Contract Supplemental	<b>DI#</b> 2931001
	<b>Original FY 09 House Bill Section, if applicable</b> 9.195

## **1. AMOUNT OF REQUEST**

	<b>FY 2012 Supplemental Budget Request</b>			
	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>
<b>PS</b>	0	0	0	0
<b>EE</b>	3,722,767	0	0	3,722,767
<b>PSD</b>	0	0	0	0
<b>Total</b>	<u>3,722,767</u>	<u>0</u>	<u>0</u>	<u>3,722,767</u>

**FTE** 0.00 0.00 0.00 0.00  
**POSITIONS** 0 0 0 0  
**NUMBER OF MONTHS POSITIONS ARE NEEDED:** 0

<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				

Other Funds: None.

	<b>FY 2012 Supplemental Governor's Recommendation</b>			
	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>
<b>PS</b>	0	0	0	0
<b>EE</b>	3,425,212	0	0	3,425,212
<b>PSD</b>	0	0	0	0
<b>Total</b>	<u>3,425,212</u>	<u>0</u>	<u>0</u>	<u>3,425,212</u>

**FTE** 0.00 0.00 0.00 0.00  
**POSITIONS** 0 0 0 0  
**NUMBER OF MONTHS POSITIONS ARE NEEDED:** 0

<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				

Other Funds: None.

## **2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

This supplemental funding is needed to provide funding for constitutionally and statutorily mandated health services (medical, mental health and sex offender services) for incarcerated offenders in 21 correctional facilities. The current appropriation is not sufficient to provide services to the projected number of offenders in prison throughout fiscal year 2012. The FY12 Budget was based upon a projected population of 30,619 offenders in prison per day. The current projected population for FY12 is 30,818. The year-end population was 30,771 and has exceeded 30,800 offenders on many days this year. The DOC projects a population increase of .24 offenders per day in FY12.

The DOC utilizes these funds to maintain and improve the health of incarcerated offenders; to assist in control and containment of infectious and chronic diseases; improve the health of offenders with chronic mental illness; reduce the number of sexual assault victims within the community and to ensure that offenders are constitutionally confined. The current comprehensive contract for inmate health services became effective July 1, 2007. The FY12 per diem rate is \$10.29 per day for medical services and \$2.413 per day for mental health services.

### SUPPLEMENTAL NEW DECISION ITEM

<b>Department:</b> Department Of Corrections				<b>Budget Unit</b> 97432C	
<b>Division:</b> Division of Offender Rehabilitative Services					
<b>DI Name:</b> Offender Healthcare Contract Supplemental				<b>DI#</b> 2931001	
				<b>Original FY 09 House Bill Section, if applicable</b> 9.195	

**3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)**

The Department contracts for offender healthcare services and pays a capitates rate per offender per day. Increases in the offender population over the number that have been budgeted for drives requests for supplemental funding.

FY12 Offender Healthcare Budget	FY12 Per Day Rate	FY12 Projected Population	FY12 Need	Difference
\$138,856,854	\$12.703	30,882	\$143,579,621	\$4,722,767
			Less pharmacy rebate	(\$1,000,000)
				\$3,722,767

HB - Section	Approp	Type	Fund	Amount
09.195 Medical Services E&E	2778	EE	0101	\$3,722,767

**Governor Recommends:**

FY12 Offender Healthcare Budget	FY12 Per Day Rate	FY12 Projected Population	FY12 Need	Difference
\$138,856,854	\$12.703	30,818	\$143,282,066	\$4,425,212
			Less pharmacy rebate	(\$1,000,000)
				\$3,425,212

HB - Section	Approp	Type	Fund	Amount
09.195 Medical Services E&E	2778	EE	0101	\$3,425,212

**SUPPLEMENTAL NEW DECISION ITEM**

Department: Department Of Corrections			Budget Unit 97432C							
Division: Division of Offender Rehabilitative Services										
DI Name: Offender Healthcare Contract Supplemental			DI# 2931001		Original FY 09 House Bill Section, if applicable				9.195	
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.										
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS	
Total PS	0	0.00	0	0.00	0	0.00	0	0.00	0	
Total EE	3,722,767 3,722,767		0		0		3,722,767 3,722,767		3,722,767 3,722,767	
Program Distributions	0						0		0	
Total PSD	0		0		0		0		0	
Grand Total	3,722,767	0.00	0	0.00	0	0.00	3,722,767	0.00	3,722,767	
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS	
Total PS	0	0.00	0	0.00	0	0.00	0	0.00	0	
Total EE	3,425,212 3,425,212		0		0		3,425,212 3,425,212		3,425,212 3,425,212	
Program Distributions							0		0	
Total PSD	0		0		0		0		0	
Grand Total	3,425,212	0.00	0	0.00	0	0.00	3,425,212	0.00	3,425,212	

**SUPPLEMENTAL NEW DECISION ITEM**

<b>Department:</b> Department Of Corrections						<b>Budget Unit</b> 97432C					
<b>Division:</b> Division of Offender Rehabilitative Services											
<b>DI Name:</b> Offender Healthcare Contract Supplemental						<b>DI#</b> 2931001					
						<b>Original FY 09 House Bill Section, if applicable</b> 9.195					

**5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

<b>5a. Provide an effectiveness measure.</b>						<b>5b. Provide an efficiency measure.</b>					
<b>Percentage of offenders with positive TB test completing 12 months of therapy: (The Healthy People 2010 baseline is 74%)</b>						<b>Number of suicide attempts requiring outside intervention or care beyond the level provided by nurses</b>					
FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.	FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.
100%	100%	100%	100%	100%	100%	48	51	38	55	55	55

<b>Percentage of female offenders receiving a pap test in previous two years of incarceration</b>						<b>Contract per diem rate for Medical/Mental healthcare</b>					
FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.	FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.
100%	100%	100%	100%	100%	100%	\$11.18	\$11.63	\$12.144	\$12.703	\$13.313	\$13.953

<b>Percentage of pregnant offenders who receive the appropriate number of check ups while incarcerated: (The Healthy People 2010 baseline is 90%)</b>					
FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.
100%	100%	100%	100%	100%	100%

<b>5c. Provide the number of clients/individuals served, if applicable.</b>						<b>5d. Provide a customer satisfaction measure, if available. N/A</b>					
<b>Average Daily Prison Population</b>											
FY09 Actual	FY10 Actual	FY11 Actual	FY12 Proj.	FY13 Proj.	FY14 Proj.						
30,255	30,447	30,595	30,818	30,909	30,996						

### SUPPLEMENTAL NEW DECISION ITEM

<b>Department:</b> Department Of Corrections	<b>Budget Unit</b> 97432C
<b>Division:</b> Division of Offender Rehabilitative Services	
<b>DI Name:</b> Offender Healthcare Contract Supplemental <b>DI#</b> 2931001	<b>Original FY 09 House Bill Section, if applicable</b> 9.195
<b>6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:</b>	
<p>The Department, along with the inmate healthcare contractors will continue to emphasize primary prevention strategies to maintain wellness along with the practice of disease management through early enrollment in the chronic care clinics.</p> <p>The mental health contractor will provide training to Department staff which will assist staff to better detect the warning signs of potential suicidal behaviors.</p>	